

# EXHIBIT A

THE HONORABLE RICARDO S. MARTINEZ

UNITED STATES DISTRICT COURT  
WESTERN DISTRICT OF WASHINGTON  
AT SEATTLE

JAMES GINZKEY, RICHARD  
FITZGERALD, CHARLES CERF, BARRY  
DONNER, and on behalf of the class members  
described below,

Plaintiffs,

v.

NATIONAL SECURITIES CORPORATION,  
a Washington Corporation,

Defendant.

Case No.: 2:18-cv-01773-RSM

**DECLARATION OF FRED KNOPF**

I, Fred Knopf, am older than 21 years of age, have personal knowledge of the facts stated herein based on my own knowledge and a review of pertinent National Securities Corporation's records, and am competent to testify thereto.

1. I am the General Counsel for National Securities Corporation ("NSC"), a position I have held since 2017. I am also the Deputy General Counsel, Head of Litigation at non-party B. Riley Financial Inc. ("B. Riley"). B. Riley is the current parent corporation of NSC.

2. NSC and B. Riley provide investment brokerage services to investors. NSC and B. Riley are typically paid by investors for their brokerage services in the form of commissions, calculated as 1-4 percent of purchases or sales.

I declare under penalty of perjury that the foregoing is true and correct. Executed this 2nd day of June, 2022 in Milford, Connecticut.

  
Fred Knopf